V.73 Rec'd PCT/PTO 24 MAR 2008

ATTORNEY'S DOCKET NUMBER

TRÄNSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. PCT/EP2004/010697

INTERNATIONAL FILING DATE 23 September, 2004 (23.09.04)

U.S. APP CAMPON OF FORM, 2 20 (5) 1.5)

PRIORITY DATE CLAIMED 26 September, 2003 (26.09.03)

TITLE OF INVENTION

IDENTIFICATION OF TUMOUR-ASSOCIATED CELL SURFACE ANTIGENS FOR DIAGNOSIS AND THERAPY

APPLICANT(S) FOR DO/EO/US

Özlem TÜRECI, Ugur SAHIN, Gerd HELFTENBEIN, Volker SCHLÜTER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3.
 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 5.

 A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a.

 is attached hereto (required only if not communicated by the International Bureau).
 - b.

 has been communicated by the International Bureau.
 - c.

 is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☑ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a.

 is attached hereto, including translated drawings FIGS. 1-49.
 - b.

 has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. \Box are attached hereto (required only if not communicated by the International Bureau).
 - b.

 have been communicated by the International Bureau.
 - c.

 have not been made; however, the time limit for making such amendments has NOT expired.
 - d.

 have not been made and will not be made.
- 8.

 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9.

 ✓ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4)) (unexecuted).
- 10.
 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

- 11.

 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12.

 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.

 A preliminary amendment.

EXPRESS MAIL LABEL FEL 603865577 US

US APPLICATI	ON NO LIF Known, Se	7 9 9 0 28 16 0 FR 1.5)	INTERNATIONAL PCT/EP2004/0106		D. ATTORNEYS DO	OCKET NUMBER		
14. □ An Application Data Sheet under 37 CFR 1.76.								
•								
	A power of attorney and/or change of address letter (combined with Declaration of Inventors).							
·	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter. 2 and 37 CFR 1.821-1.825.							
	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19. □ As —————	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20. Ø Other items or information: (a) Verification of Translation of International Application (b) Stamped return addressed postcard.								
The	e following fees have been submitted				CALCULATIONS	PTO USE ONLY		
21. ⊠ Ba	sic national fee (37 CFR 1.492(a))\$300.00			\$300.00	\$300.00			
22. 🗆 Exa	Examination for (27 CER 1 402(c))				,			
22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report								
prepared by IPE	EA/US indicates all cla	aims satisfy provisions of Po	CT Article 33 (1)-(4) .	\$ - 0-	\$200.00			
	arch fee (37 CFR 1.4							
•		nronorod by						
IPEA/US indica	inion of the ISA/US or tes all claims satisfy p	\$400.00						
USPTO as an Ir	CFR 1.445(a)(2)) has nternational Searching							
previously comr	arch Report prepared nunicated to the US to							
All other situations\$500.00								
		TOTAL OF 21, 22 and 23 =		uding onguenos				
listing in complia	ance with 37 CFR 1.8							
medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or faction thereof.								
Total Sheets	Extra Sheets	Number of each additiona	18					
		fraction thereof (round up number)	to a whole					
189 - 100 =	89 / 50 =	2	X \$25)	\$500.00			
		ny of the search fee, examine national stage (37 CFR 1		h or declaration	\$			
CLAIMS	NUMBER FILED	NUMBER EXTR	A RATE					
Total Claims	20 - 20 =	-0-	X\$ 5)	\$-0-			
Independent Claims	6 - 3 =	3	×\$20		\$600.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$-0-			
TOTAL OF ABOVE CALCULATIONS =					\$2,000.00			
	claims small entity sta	itus. See 37 CFR 1.27. Fe	es above are reduce	d by 1/2				
				SUBTOTAL =	\$1,000.00			

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	THE STREET						
US APPLICATION NO. (Skrown, see #7 CFR 2.57) To Be Determined	INTERNATIONAL APPLICATION NO. PCTEP2004/010697	ATTORNEYS D VOS-203	OCKET NUMBER				
Processing fee of \$130.00 for furnishing the English trans the earliest claim priority date (37 CFR 1.492(I).	lation later than 30 months from						
٥	TOTAL NATIONAL FEE =	\$1,000.00					
Fee for recording the enclosed assignment (37 CFR 1.21 accompanied by an appropriate cover sheet (37 CFR 3.23 \$40.00 per property							
	\$150.00						
		Amount to be refunded:	\$				
		Amount to be charged	\$				
a. ⊠ A check in the amount of \$ 150.00 to cover the above fees is enclosed							
b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.							
d. Fees are to be charged to a credit card. WARNING. Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO: CUSTOMER NUMBER: 002387							
Attention: Dolores T. Kenney							
OLSON & HIERL, LTD. 20 North Wacker Drive 36th Floor							
Chicago, Illinois 60606 Telephone: (312) 580-1180							
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